WO 03/104472

1

PCT/EP03/05598

## Method for Producing Conjugated Linoleic Acid

١,

÷ 24.

### Field of the Invention

This invention relates generally to fatty acids and, more particularly, to a new process for the production of conjugated linoleic acid by enzymatic hydrolysis of its esters.

5

10

15

20

25

#### **Prior Art**

Linoleic acids with conjugated double bonds. which are commercially available as "CLA" (conjugated linoleic acids), physiologically active and are used as food additives. Conjugated linoleic acid is normally produced from triglycerides which have a high percentage content of - normally unconjugated - linoleic acid, such as thistle or sunflower oil for example. The triglycerides are isomerized in the presence of basic catalysts or enzymes and then saponified. A disadvantage in this regard is that, on the one hand, the saponification step yields many unwanted waste materials and, on the other hand, large quantities of alkalis are required, which can quickly result in corrosion in the reactors used. To avoid this, linoleic acid alkyl esters have more recently been used as preferred starting materials and, in a first step, are isomerized to the CLA esters and then saponified. However, even this process is not entirely convincing because it is also attended by disadvantages, such as for example poor yields, drastic reaction conditions, unwanted secondary products and long reaction times.

Accordingly, the problem addressed by the present invention was to provide a process for the production of conjugated linoleic acid which would reliably avoid the above-mentioned disadvantages of the prior art.

#### **Enzymes**

Typical – but not limiting - examples of suitable enzymes are lipases and/or esterases of microorganisms selected from the group consisting of Alcaligenes sp., Aspergillus niger, Candida antarctica A, Candida antarctica B, Candida cylindracea, Chromobacterium viscosum, Rhizomucor miehei, Penicilium roqueforti, Porcine pancreas, Penicilium camemberti, Pseudomonas cepacia, Pseudomonas fluorescens, Rhizopus javanicus, Rhizopus oryzae, Thermomyces lanugenosus and mixtures thereof. Lipases and esterases from the organisms Alcaligenes, Candida, Chromobacterium, Rhizomucor, ... Pseudomonas, Rhizopus and Thermomyces are preferred because they are particularly active. enzymes are generally used in the form of dilute suspensions or waterbased concentrates. The lipases/esterases may also be immobilized on carrier material and re-used in so-called repeated batches.

15

20

25

30

10

5

#### **Hydrolysis**

The hydrolysis of the fatty acid alkyl esters is preferably carried out at mild temperatures in the range from 20 to 80°C, preferably in the range from 30 to 70°C and more particularly in the range from 35 to 60°C with continuous removal of the lower alcohol, i.e. normally methanol or ethanol, under reduced pressure, the preferred temperature being determined by the activity optimum of the enzymes used.

- A) A suitable hydrolysis process is a batch process in which a constant water content normally between 30 and 70% by weight is maintained in the reactor by subsequent additions of water. The reaction is normally carried out at a temperature of 30 to 50°C and under a reduced pressure of 20 to 60 ± 5 mbar. In this batch process, an alcohol/water mixture is continuously removed ("stripped").
- B) Another suitable hydrolysis process is a batch process in which water is continuously introduced and an alcohol/water mixture is

### **Description of the Invention**

The present invention relates to a process for the production of conjugated linoleic acid, in which

- 5 (a) conjugated linoleic acid lower alkyl esters are hydrolyzed with water in the presence of enzymes with continuous removal of alcohol,
  - (b) the hydrolyzate is separated into an organic phase and an aqueous/alcoholic phase and
- (c) the organic phase containing the conjugated linoleic acid is freed from unreacted conjugated linoleic acid lower alkyl esters.

It has surprisingly been found that enzymatic hydrolysis with continuous removal of alcohol leads to fatty acids that are free from unwanted secondary products. High yields are obtained, the process involves mild reaction conditions and uses catalysts which meet all environmental compatibility requirements. In addition, if alcohol is removed continuously from the hydrolysis reactor itself during the hydrolysis process, a much faster conversion is achieved in a one-step process.

# 20 Conjugated linoleic acid lower alkyl esters

Starting materials for the process according to the invention are linoleic acid lower alkyl esters which preferably correspond to formula (I):

$$R^1CO-OR^2$$
 (I)

25

15

where  $R^1CO$  is the acyl group of a linoleic acid containing conjugated double bonds and  $R^2$  is a linear or branched alkyl group containing 1 to 4 carbon atoms. In one particular embodiment, conjugated linoleic acid methyl and/or ethyl esters are used.

continuously removed ("stripped"). The water content in the reactor in this process is usually low (0 to 20% by weight). The reaction is normally carried out at a temperature of 50 to  $70^{\circ}$ C and under a reduced pressure of 20 to  $60 \pm 5$  mbar.

C) An alternative, but equally suitable, hydrolysis process is a multistage process without continuous removal of the alcohol component. On termination of the enzymatic hydrolysis, the water phase, which also contains large parts of the water-soluble short-chain alcohol, is separated from the organic phase and a fresh water phase is added. Typically, the water phase is changed 1 to 3 times. The reaction is normally carried out at a temperature of 20 to 70°C and at a water content of 50 to 75%. The hydrolysis may be carried out with immobilized enzyme, which may be reused in each hydrolysis stage, and with non-immobilized enzyme. In that case, fresh enzymes has to be added in each hydrolysis stage.

15

20

25

10

5

#### Working up

After the hydrolysis, the water/alcohol phase is separated from the organic phase which is worked up, i.e. unreacted alkyl ester is removed from the valuable product. Different conversion rates are obtained according to the duration of the hydrolysis. The reaction may be terminated early, for example at a conversion of only 60% by weight, so that fatty acids and fatty acid esters have to be subsequently separated. However, it may also be terminated at a conversion of > 90% by weight, preferably > 95% by weight or even > 99% by weight, so that subsequent separation is unnecessary. Separation may be carried out by distillation or by saponification of the free fatty acid and subsequent phase separation. However, complete hydrolysis of the conjugated linoleic acid esters (conversion > 99%) under mild reaction conditions is particularly preferred in order to avoid changes in the isomer composition.

#### Examples

#### Example 1

5

10

Selection of suitable lipases.

15 Batches each containing 4 g conjugated linoleic acid ethyl ester and 6 g water in a closable reaction vessel were simultaneously stirred at room temperature on a multi-stirrer plate. Quantities of 40 mg of commercially available lipases or esterases were added to the batches. Samples are taken after reaction times of 2 h and 22 h. The organic phase containing fatty acid ethyl ester and enzymatically hydrolyzed fatty acid were separated and analyzed. The conversion was determined via the acid value. The results are set out in Table 1.

Table 1

Lipases and esterases used

Enzyme	Microorganism	Manufacturer	Acid value		Conversion	
			2 h	22 h	2 h	22 h
Chirazym L-10	Alcaligenes sp.	Roche	21	41	11.5	20.5
Lipase A	Aspergillus niger	Amano	6	16	3	8
Novozym 868	Candida antarctica A	Novozymes	5	6	2.5	3
Novozym 525	Candida antarctica B	Novozymes	52	62	26	30
Lipomod 34	Candida cylindracea	Biocatalysts	45	61	22.5	30
Lipase LP	Chromobacterium viscosum	Asahi Kasei	45	60	22.5	30
Novozym 388	Rhizomucor meihei	Novozymes	8	11	4	5.5
Lipase G	Penicilium camemberti	Amano	15	38	7.5	19
Lipase R	Penicilium roqueforti	Amano	6	6	3	3
Lipase L115P	Porcine pancreas	Biocatalysts	6	6	3	3
Lipase PS	Pseudomonas cepacia	Amano	46	57	23	28.5
Lipase AK	Pseudomonas fluorescens	Amano	26	53	13	26.5
Lipomod 36 P	Rhizopus javanicus	Biocatalysts	21	38	11.5	19
Lipase F-AP 15	Rhizopus oryzae	Amano	12	18	6	9
Lipolase T1 100	Thermomyces lanugenosus	Novozymes	38	53	19	26.5

All the lipases and esterases tested were found to be active in the hydrolysis of the fatty acid esters. However, microorganisms of the

Alcaligenes, Candida, Chromobacterium, Penicilium, Pseudomonas, Rhizopus and Thermomyces type are preferred. The reaction without removal of ethanol under the above conditions continued to an equilibrium of ca. 30% by weight free fatty acid.

5

10

15

20

#### Example 2

Hydrolysis of short-chain conjugated linoleic acid methyl esters with continuous stripping of water and methanol

Hydrolysis test using process A)

400 g conjugated linoleic acid methyl ester, 200 g water and 20 g Candida antarctica B lipase immobilized on polypropylene were introduced into a heatable flask. The reaction was carried out with a distillation bridge surmounting the flask under a reduced pressure of 60 mbar and at a temperature of 60°C. Water was continuously pumped into the flask at a flow rate of 0.5 ml/min. and a water content of 30 to 40% was adjusted in the flask. The conversion of the reaction was determined via the acid value. On termination of the reaction, the reaction mixture was filtered off from the immobilized enzyme and the organic phase was separated from the aqueous phase. An acid value of 200 corresponded to a 100% conversion. The results are set out in Table 2.

<u>Table 2</u>
Conversion after different reaction times with stripping of water and methanol

Reaction time [h]	Acid value	Conversion [%]
0	0	0
2	96.4	48.2
8	139.2	69.6
24	189.5	94.7
48	198.8	99.4

25

According to analysis of the acid value, a conjugated linoleic acid in the form of a clear, pale yellowish colored liquid was obtained with a conversion of > 99% after a reaction time of 48 hours.

The isomer pattern of the enzymatically hydrolyzed CLA was compared with the starting substrate CLA methyl ester by gas chromatographic analysis.

5

<u>Table 3</u>
Comparison of the isomer pattern after enzymatic hydrolysis

Analysis	CLA Me, crude	CLA FFA, crude
C16:0	3.8	4.3
C18:0	2.0	2.4
C18:1	16.8	17
C18:2	1.9	2
C18:2 c9,11t	37.5	37
C18:2 t10,c12	36.8	36.7
C18:2 cc isomers	0.8	1
C18:2 tt isomers	0.5	0.8
Acid value		198.8

Within the limits of measurement inaccuracy, the enzymatic hydrolysis did not produce any significant change in the isomer pattern.

#### Example 3

20

Hydrolysis of short-chain conjugated linoleic acid methyl esters with continuous stripping of water and methanol

15 Hydrolysis test using process B)

100 g conjugated linoleic acid methyl ester, 10 g water and 5 g Candida antarctica B lipase immobilized on polypropylene were introduced into a heatable flask. The reaction was carried out with a distillation bridge surmounting the flask under a reduced pressure of 60 mbar and at a temperature of 60°C. Water was continuously pumped into the flask at a flow rate of 0.25 ml/min. (Example 3A) and 0.5 ml/min. (Example 3B). Added water was quickly distilled off so that the water content in the reactor was low (< 20%) throughout the reaction. The conversion of the reaction

was determined via the acid value. The reactions were terminated after 24 h (partial conversion) and the immobilized enzyme was filtered off from the reaction mixture. The organic phase was then separated from the aqueous phase. An acid value of 200 corresponded to a 100% conversion. The results are set out in Table 4.

<u>Table 4</u>
Conversion after different reaction times with stripping of water and methanol

Reaction time	Acid value	Conversion [%]	Acid value	Conversion [%]
[h]	Example 3A	Example 3A	Example 3B	Example 3B
0	0	0	0	0
2	51.5	25.7	62.3	31.1
4	71.2	35.6	84.4	42.4
6	87.0	43.5	100.2	50.1
8	100.0	50.0	112.2	56.1
24	153.0	76.5	167.1	83.5

Conversions of 76.5% and 83.5% were obtained after 24.h, depending on the quantity of water added. The amount of distillate in Example 3A was 315 g after 24 h and, in Example 3B, 584 g after 24 h.

#### Example 4

5

10

20

25

15 Hydrolysis of short-chain conjugated linoleic acid ethyl esters with continuous stripping of water and ethanol

Hydrolysis test using process A)

Thermomyces lanugenosus lipase immobilized on polypropylene were introduced into a heatable flask. The reaction was carried out with a distillation bridge surmounting the flask under a reduced pressure of 30 mbar and at an external temperature of 60°C. Water was continuously pumped into the flask at a flow rate of 0.5 ml/min. and a water content of 40 to 60% was adjusted in the flask. The temperature inside the reactor was kept at ca. 40°C. The conversion of the reaction was determined via the

acid value. On termination of the reaction, the immobilized enzyme was filtered off from the reaction mixture and the organic phase was separated from the aqueous phase. An acid value of 200 corresponded to a 100% conversion. The results are set out in Table 5.

5 **Table 5** 

Conversion after different reaction times with stripping of water and ethanol

Reaction time [h]	Acid value	Conversion [%]
0	0	0
4	59.1	29.6
20	114	57
45	166	83

According to analysis of the acid value, a conjugated linoleic acid in the form of a clear, colorless liquid was obtained with a conversion of 83% after a reaction time of 45 hours.

#### Example 5

15

20

25

Hydrolysis of short-chain conjugated linoleic acid methyl esters by multistage hydrolysis

Hydrolysis test using process C)

3 Batches each containing 20 g conjugated linoleic acid methyl ester based on the sunflower oil and 40 g water were weighed into closed flasks. Quantities of 1 g immobilized lipase were then added and the mixtures were stirred for 5 h at room temperature on a magnetic stirrer plate. The enzyme immobilizates were then filtered off and the organic phase was separated from the aqueous phase. Another 40 g water was added to the organic phase and the enzyme immobilizates filtered off were re-added to the reaction solution. After reaction overnight at room temperature, the enzyme immobilizates were again filtered off and the organic phase was separated from the aqueous phase. 40 g water was added to the organic phase and the enzyme immobilizates filtered off were re-added to the

reaction solution. After another 5 h at room temperature, the reaction was terminated.

The following enzyme immobilizates were used:

- 5 5A) 1 g Novozym 435
  - 1 g Candida antarctica B lipase immobilized on macroporous 5B) polypropylene

The conversion of the reaction in the individual stages was 10 determined via the acid value. An acid value of 200 corresponded to a 100% conversion. The results are set out in Table 6.

Table 6 Conversion in multistage hydrolysis

Reaction time [h]	Acid value Ex. 5A	Conversion Ex. 5A	Acid value Ex. 5B	Conversion Ex. 5B	Acid value Ex. 5C	Conversion Ex. 5C
0	0	0	0	0	0	0
Stage 1, after 5 h	100.4	50.2%	81.8	40.9%	74.5	37.3%
Stage 2, after 16 h	142	71%	127	63.5%	117.2	58.6%
Stage 3, after 5 h	165.5	82.8%	154.9	77.5%	152.4	76.2%

#### 15

#### Example 6

Hydrolysis of short-chain conjugated linoleic acid ethyl esters by multistage hydrolysis

Hydrolysis test using process C)

20 2 Batches each containing 20 g conjugated linoleic acid ethyl ester based on thistle oil and 40 g water were weighed into closed flasks. 200 mg non-immobilized lipase and 1 g immobilized lipase were then added. The batches were treated as described in Example 5. 200 mg fresh nonimmobilized enzyme was added in each hydrolysis stage. The following enzymes were used:

- 6A) 200 mg Lipomod 34 (Candida cylindracea lipase) per stage
- 6B) 1 g Novozym 435 (*Chromobacterium viscosum* lipase) per stage

5

The conversion of the reaction in the individual stages was determined via the acid value. An acid value of 200 corresponded to a 100% conversion. The results are set out in Table 7.

10 <u>Table 7</u> Conversion in mutistage hydrolysis

	goyuror	, 4.0		
Reaction time [h]	Acid value Example 6A	Conversion [%] Example 6A	Acid value Example 6B	Conversion [%]
0	0	0	0	Example 6B
Stage 1, after 5 h	56.6	28.3	101.9	51
Stage 2, after 16 h	83.9	42	123	61.5
Stage 3, after 5 h	122	61	143	71.5